

Auditing Investment Activities



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Tentang LPAI

LPÁI adalah lembaga yang concern terhadap pengembangan SDM bidang audit internal. Sebagai salah satu divisi training dari Proesdeem Indonesia — lembaga konsultan manajemen yang sejak 1995 memfokuskan kegiatannya pada pelatihan manajemen — LPÁI menyelenggarakan pelatihan internal audit dan fraud audit secara lengkap, terprogram-berkesinambungan, serta kurikulum berkualitas. Pelatihan yang diselenggarakan oleh LPÁI senantiasa dievaluasi dan diupdate — mengacu pada perkembangan pengetahuan dan praktek bisnis paling mutakhir — dimana benchmarknya adalah lembaga-lembaga internal audit dan fraud audit yang sudah dikenal baik reputasinya di dunia.

Selain itu program pelatihan yang diselenggarakan oleh LPÁI didukung oleh tenaga instruktur berpengalaman, baik sebagai instruktur maupun sebagai auditor ataupun praktisi manajemen lainnya serta memiliki background pendidikan S2 dan Ph.D. dari dalam dan luar negeri. Sebagian besar instruktur LPÁI adalah praktisi audit yang memiliki sertifikat keahlian atau profesi seperti CIA, CFE, CISA, dan sebagainya.

Untuk informasi lengkap mengenai program LPÁI dapat diklik di www.lpauditorinternal.org

Web Service Links:

www.bepro-seminar.com
www.careertrack-training.com
www.theITMP.com
www.PROESDEEM.com



www.LPAuditorInternal.org

Investment audit assignments present specific challenges and require specialized skills. Whether you're a staff auditor preparing for a new assignment or an experienced auditor or audit manager interested in gaining a broader perspective and new planning strategies, this course will give you the tools to conduct or manage an investment audit with confidence.

This course gives participants an understanding of basic investment terminology, strategies, core processes, and common concepts and terms. Instructors will also explore front office, back office, and middle office activities, control objectives, and internal control best practices. Through lectures and group discussions, auditors learn how to create a foundation for delivering high-quality audit services while building credibility with investment audit clients. Ultimately, participants will gain a thorough understanding of the investment environment and the cash management function, as well as the asset and liability management function and how to detect red flags and control investment risks.

Pelatihan untuk Anda:

- Staff auditors preparing for investment audit assignments
- Experienced auditors seeking a broader risk perspective and new audit engagement planning tools
- Audit management from small audit groups interested in benchmarking investment audit coverage and audit strategies

Pelatihan ini bertujuan untuk:

- Acquire a solid understanding of basic investment strategies, core processes, and common concepts and terms.
- Enhance your ability to assess investment business risks and evaluate your organization's control environment.
- Explore front office, back office, and middle office activities, control objectives, and internal control best practices.
- Create the foundation to deliver high-quality audit services and build credibility with your investment audit clients

Pokok-Pokok Pembahasan:

- 1. Introduction to Investment Auditing**
 - Why audit investments?
 - Key investment terminology
- 2. Understanding the Investment Environment**
 - Introduction to the investment process
 - Understanding the short-term market: T-bills, commercial paper, banker's acceptance, CDs, Eurodollars, and agencies
 - Reviewing the major securities in the capital markets
- 3. Understanding the Cash Management Function**
 - Reasons to hold cash in the portfolio
 - Best practices for "economizing" cash
 - Internal controls in the cash management process
 - Learning the basics of the "funding" process
- 4. The Investment Infrastructure: Best Practices**
 - Back office and reporting: Trade settlement, systems and controls, pitfalls of investment reporting, straight-thru processing, reporting class exercise
 - The roles of the front office: Portfolio management process, auditing broker activity, auditing soft dollar activity
 - Reviewing the functions of the middle office: Model verifications, price verifications
- 5. The Asset and Liability Management Function**
 - Significance of the asset and liability function
 - Reviewing the popular methods of ALM
 - Reviewing best practices in the ALM process
- 6. Controlling Investment Risks**
 - Management risk
 - Market risk
 - Credit risk
 - Legal risk
 - Operational risk
 - Establishing the compliance function
- 7. Summary**
 - Key controls
 - Getting started
 - "Red flags"

Informasi Pelaksanaan:

Jadwal Anda	Lokasi
February 21-22, 2012	Bandung
April 05-06, 2012	
June 25-26, 2012	Jakarta
August 15-16, 2012	Jakarta
October 11-12, 2012	Jakarta
December 05-06, 2012	Jakarta
Investasi/Peserta Rp3.500.000,00 (tidak termasuk pajak dan akomodasi)	
Fasilitator: Team Instruktur LPAI	

Lokasi & Ketentuan Pelaksanaan:

Jakarta: Patra Office Tower# 1710 atau Hotel Puri Denpasar/Peninsula/Ibis Slipi/Century/ atau hotel lainnya yang akan dikonfirmasi melalui undangan seminar.

Bandung: Hotel Golden Flower, Grand Seriti, atau hotel lainnya yang akan dikonfirmasi melalui undangan seminar.

Jumlah peserta minimal untuk pelaksanaan di Bandung 5(lima) orang, Jakarta 3(tiga) orang sesuai konfirmasi yang kami terima seminggu sebelum pelaksanaan. Dalam hal jumlah peserta kurang dari ketentuan minimal tersebut maka keputusan pelaksanaannya dapat dibicarakan kedua belah pihak untuk mendapatkan solusi terbaik.

Bila Anda menginginkan jadwal dan lokasi yang berbeda dengan yang telah ditentukan di atas silahkan hubungi Customer Service kami melalui telepon 021-5289-2279, 021-3696-1995 atau email: lpai.indonesia@gmail.com